

Information for customers of  
Anglo Austrian AAB AG  
(securities account)

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Dr. Georg Freimüller  
Dr. Alois Obereder  
Mag. Michael Pilz  
Dr.<sup>in</sup> Simone Metz, LL.M.  
Dr.<sup>in</sup> Margarita Schulyok, M.G.I.  
MMag.<sup>a</sup> Michaela Tschiederer  
Dr. Michael Haider

## **Frequently Asked Questions – security accounts**

**Q:** Are my securities lost?

**A:** No. The securities held in the securities account are held by Anglo Austrian AAB AG solely on your behalf and must be transferred to you at any time. They are not part of the insolvency assets (“Aussonderungsrecht im Insolvenzverfahren”). For transferring the securities, please send the form with the necessary details and a copy of your identity card to the e-mail address stated in the information letter.

**Q:** Why do I have to have my securities transferred? Can I sell them instead?

**A:** A sale of securities by Anglo Austrian AAB AG is no longer possible, as the AAB no longer carries out banking transactions. The securities must therefore be transferred to a new securities account.

**Q:** What happens to the associated clearing account?

**A:** The credit balance on the clearing account is in general - observing the legal requirements - secured by the Einlagensicherung AUSTRIA GmbH. In order to claim the deposit protection, please follow the instructions on the website of Einlagensicherung Austria GmbH and in the letter sent to you directly by Einlagensicherung AUSTRIA GmbH.

**Q:** I have already submitted an order for transferring my securities to Anglo Austrian AAB AG before the opening of insolvency proceedings. Do I have to transmit this again?

**A:** No. The order is processed by Anglo Austrian AAB AG.

**Q:** What costs will arise for the transfer of the securities?

**A:** The costs for the transfer of the securities are € 38,- plus VAT per position and € 22,80 plus VAT for closing of the securities account.

**Q:** What happens if the account holder has died?

**A:** In this case, the heir(s) may instruct the transfer of the securities. For this purpose, it is absolutely necessary to submit the legally binding decision of the court.